



Research on Key Technologies for Reinforcement of Soft Foundation in Loess Tunnels

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Abstract

Conference

Loess tunnels in China's Loess Plateau face significant stability challenges due to the collapsible nature and moisture sensitivity of loess. This study investigates foundation behavior and reinforcement design for plain concrete pile composite foundations through integrated laboratory testing and three-dimensional numerical simulation. Laboratory tests quantified moisture-dependent mechanical properties: cohesion decreases from 43.33 kPa ($w=14\%$) to 36.26 kPa ($w=20\%$), following $c = 59.5 - 1.17w$ ($R^2=0.99$), while friction angle decreases from 33.7° to 29.4° following $\phi = 43.7 - 0.72w$ ($R^2=0.996$). Interface shear tests revealed loess-concrete adhesion ratios (ca/c) of 0.25–0.30 at natural moisture, critical for pile shaft capacity calculations. Three-dimensional finite element models simulated excavation-support processes across burial depths of 5–46 m. Contact pressure exhibits non-uniform distribution with peak values of $1.32-1.42 \times \gamma H$ at arch feet. The empirical relationship $\sigma_{base,peak} = \eta \times \gamma H$, where $\eta = 1.12 + 0.0077H$ ($R^2=0.89$), provides a practical tool for foundation pressure prediction. The three-bench seven-step method with 3 m footage limits crown settlement to $<0.15\%$ of tunnel height. Interface effects reduce pile shaft capacity by 32% compared to conventional calculations, and seasonal moisture variation causes 23% capacity fluctuation. These findings provide validated design parameters and practical methodologies for foundation reinforcement in loess tunnel construction.

Keywords: loess tunnel, soft foundation reinforcement, plain concrete pile, moisture-dependent strength, foundation contact pressure, three-dimensional finite element analysis, pile-soil interface.

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1. Introduction

Loess tunnels represent critical infrastructure in China's Loess Plateau, where unique geological conditions—including collapsibility, moisture sensitivity, and structural complexity—pose significant construction challenges (Zhao et al., 2021; Zheng et al., 2024). The soft foundation at

tunnel base is particularly vulnerable to deformation and failure, threatening structural integrity and public safety (Han et al., 2024; Jiang et al., 2022).

Previous research has established loess engineering characteristics, including quaternary aeolian origin, high porosity (45–50%), and meta-stable cementation structure (Bao & Wei, 2024; Wu et al.,



2025). Recent studies emphasize that structural bonding is the dominant factor controlling mechanical properties, with anisotropy and collapsibility as direct manifestations (Jin et al., 2021; Ye et al., 2020). During tunnel construction, foundation soil experiences complex stress redistribution, potential water infiltration, and interface interactions with support materials, leading to undesirable deformations (Xuan et al., 2025; Wang et al., 2025).

Three-dimensional finite element analysis has emerged as an indispensable tool for analyzing tunnel excavation-support interactions (Yang et al., 2022; Xu, J., 2024). Plain concrete pile composite foundations offer effective reinforcement for soft loess conditions, but optimal design requires accurate foundation pressure characterization and pile-soil interface behavior quantification—both significantly influenced by moisture-dependent loess properties (Du et al., 2023; Li et al., 2023).

This study advances the field through: (1) comprehensive laboratory characterization of moisture-dependent loess properties including interface behavior; (2) three-dimensional numerical modeling across burial depths of 5–46 m; (3) parametric analysis establishing empirical relationships for foundation pressure prediction; (4) quantitative design parameters and methodologies directly applicable to engineering practice. The research addresses the critical gap between laboratory characterization and design application, providing reliable foundation design parameters for loess tunnel construction.

2. Materials and Methods

2.1 Test Site and Soil Sampling

Soil samples were collected from tunnel foundation zones (depths 2.0–8.5 m below invert) using thin-walled sampling tubes following GB/T 50123-2019. Sampling avoided disturbed zones (tree roots, gravel inclusions) and targeted uniform soil properties. Parallel samples at each depth ensured reproducibility. Samples were sealed and transported under controlled conditions to preserve natural state.

2.2 Laboratory Testing Program

Physical properties: Particle size distribution was determined via combined sieve analysis and laser diffraction (Bettersize 2000). Natural density used ring cutters ($\phi=61.8$ mm, $h=20$ mm); moisture content by oven-drying (105–110°C). Atterberg limits employed cone penetrometer (liquid limit) and rolling thread (plastic limit) methods (Zhang et al., 2022). Specific gravity used pycnometer method (20±1°C). Three parallel samples per parameter with coefficient of variation <10%.

Unconfined compression: Cylindrical specimens ($\phi=39.1$ mm, $h=80$ mm) tested at natural and adjusted moisture contents (14%, 16%, 18%, 20%) following 48-hour equilibration (Sun et al., 2025; Chen et al., 2025). Loading rate: 1.0 mm/min (strain rate 1.25%/min) until failure or 20% strain. Parameters derived: unconfined compressive strength (q_u), elastic modulus (E50 as secant at 50% peak stress), and Poisson's ratio (ν). Results are summarized in Table 1.

Direct shear: Strain-controlled quadruple apparatus tested four specimens simultaneously under normal stresses (100, 200, 300, 400 kPa). Shear rate: 0.8 mm/min to 6 mm displacement (Chen et al., 2023). Mohr-Coulomb parameters (c , ϕ) determined from failure envelope. Three specimens per moisture condition.

Interface shear: Loess-concrete interface (C30 grade, $R_a=50$ –100 μm roughness) tested using direct shear protocol (Du et al., 2023; Hu et al., 2025). Interface adhesion (c_a) and friction angle (δ) determined; adhesion ratio (c_a/c) and friction ratio ($\tan\delta/\tan\phi$) calculated.

Consolidation and collapsibility: One-dimensional oedometer tests (specimens $\phi=61.8$ mm, $h=20$ mm) under incremental loading (12.5–800 kPa, 24-hour increments). Consolidation coefficient (c_v) via square-root-time method; compression index (C_c), compression modulus (E_s), and volume compressibility (m_v) from e-logp curves (Niu et al., 2022). Collapsibility coefficient (δ_s) via double oedometer method: $\delta_s = (h_p - h_p')/h_0$, where h_p and h_p' are specimen heights at 200 kPa under natural

and saturated conditions. Results are summarized in Table 4.

2.3 Three-Dimensional Numerical Modeling

Model geometry: Based on Saint-Venant's principle and 5R boundary criterion (R =equivalent tunnel

radius ≈ 7.5 m), model dimensions: 100 m (width) \times 155 m (length) \times 88 m (height). Boundaries: bottom fully fixed; lateral faces fixed horizontally, free vertically; front/back faces fixed normal to surfaces (plane strain); top surface free (Xiao et al., 2024).

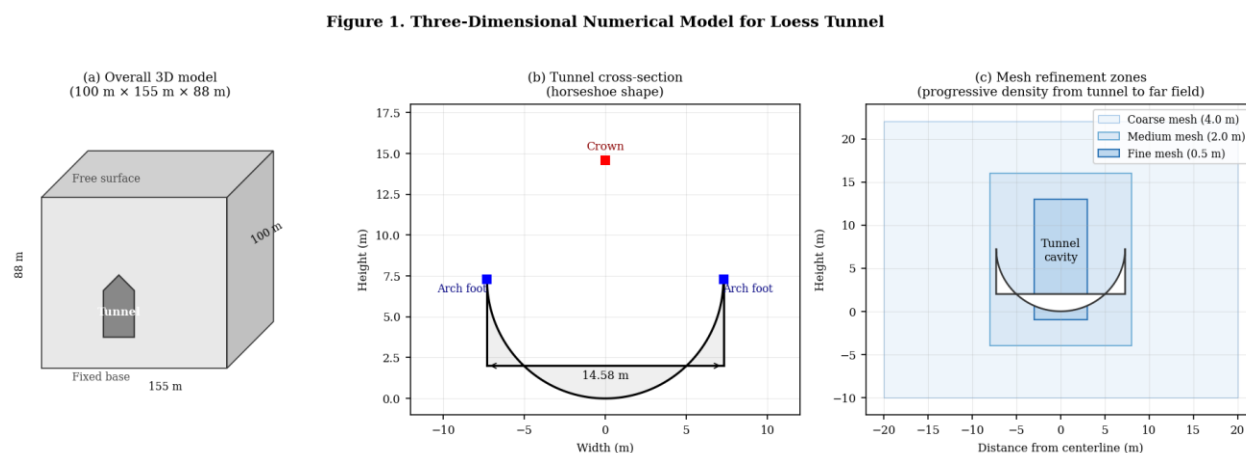


Figure 1. Three-Dimensional Numerical Model for Loess Tunnel.

Constitutive model selection: Three models were evaluated (Xu & Dai, 2017). (1) Mohr-Coulomb: Rejected—cannot capture strain-softening observed in loess; assumes constant stiffness regardless of stress level. (2) Modified Cam Clay: Rejected—requires critical state parameters (M , λ , κ) not measured; underestimates peak strength for cemented soils; incompatible with direct shear test data. (3) Modified Mohr-Coulomb (Selected): Incorporates stress-dependent stiffness and post-peak strain softening through strength degradation. Compatible with laboratory tests (unconfined compression, direct shear). Non-associated flow rule with dilation angle $\psi = \varphi - 30^\circ$ based on volumetric strain measurements during shear tests.

Material parameters: Laboratory values (Section 3.1) provided input parameters. Parameters were adjusted to account for in-situ conditions, scale

effects, and construction disturbance not captured in laboratory tests (Xu, J., 2024).

Interface elements: Zero-thickness joint elements modeled pile-soil interaction using laboratory-derived parameters: $c_a = 0.25 \times c$, $\delta = 0.85 \times \varphi$ (natural moisture, averaged from Table 3 results). Interface stiffness: $k_n = 10 \times E/L_{avg}$, $k_s = 0.1 \times k_n$ (Xiong et al., 2021).

Mesh convergence: Three mesh densities tested (85,000, 145,000, 280,000 elements). Convergence metrics: crown settlement (1.1% difference medium-fine), peak contact pressure (0.4%), plastic zone volume (2.3%), maximum principal stress (1.8%). Medium mesh (145,000 elements, 0.5 m near tunnel) selected: converges within 2% of fine mesh while maintaining practical efficiency (8.5 vs. 34 hours per stage).

Construction simulation: Sequential element activation/deactivation simulated three-bench seven-step excavation (Zhao et al., 2021; Duan et al., 2024). Primary support (shotcrete + steel ribs) installed

14 m behind face; secondary lining at 21 m. Parametric study: excavation footage (1, 2, 3, 5 m); burial depth (15, 20, 25, 30 m); support timing variations.

Figure 2. Three-Bench Seven-Step Excavation Method Sequence

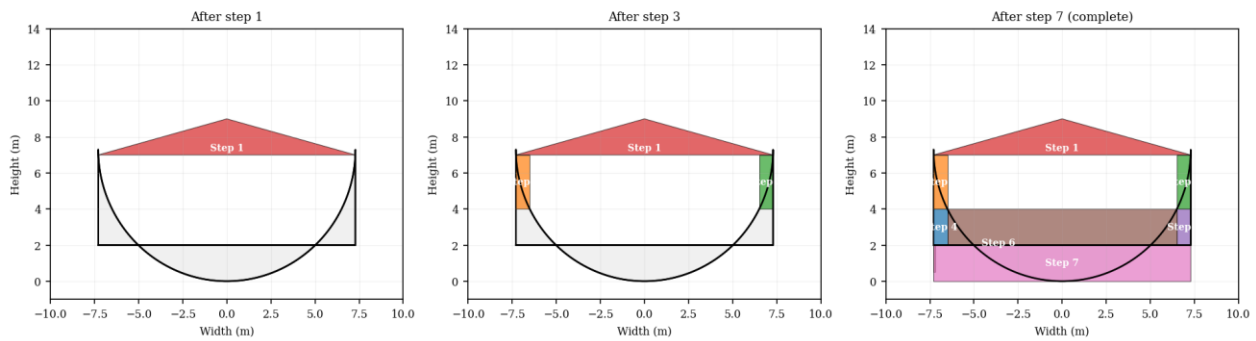


Figure 2. Three-Bench Seven-Step Excavation Method Sequence.

3. Results

3.1 Laboratory Testing Results

Physical properties: Tunnel foundation loess comprises 67% silt, 16% clay, 17% sand (median $D_{50} = 0.018\text{--}0.025$ mm), consistent with findings by Bao & Wei (2024). Natural moisture: $16.4 \pm 1.5\%$ ($n=15$); dry density: 1.70 g/cm^3 ; void ratio: $0.56\text{--}0.62$ (porosity $36\text{--}38\%$). Atterberg limits: liquid limit $27.6\text{--}34.6\%$, plastic limit $16.6\text{--}18.3\%$,

plasticity index $10.8\text{--}18.0$ (low-medium plasticity). Specific gravity: $2.70\text{--}2.71$.

Unconfined compression results (Table 1): Unconfined compressive strength (q_u) decreases with increasing moisture content across all tested conditions. The elastic modulus (E_{50}) and Poisson's ratio (ν) show clear moisture dependence, consistent with Sun et al. (2025) and Chen et al. (2025).

Table 1. Unconfined Compression Test Results ($\rho_d = 1.70 \text{ g/cm}^3$, $n=3$ per condition)

Moisture w (%)	q_u (kPa)	E_{50} (MPa)	Poisson's ratio ν	Failure strain (%)
14.0	185.4	24.6	0.27	8.3
16.0	152.8	18.2	0.30	9.1
18.0	118.3	12.7	0.33	10.4

Moisture w (%)	qu (kPa)	E50 (MPa)	Poisson's ratio v	Failure strain (%)
20.0	86.5	8.9	0.36	12.1

Note: qu = unconfined compressive strength; E50 = secant modulus at 50% peak stress; n=3 per moisture condition. Coefficient of variation <10% for all parameters.

Moisture-dependent strength (Table 2): Direct shear tests (n=12, 3 per condition) established moisture-strength relationships (Bao & Wei, 2024; Chen et al., 2023). Cohesion decreases from 43.33 kPa (14% moisture) to 36.26 kPa (20%), representing a 16% reduction.

Friction angle decreases from 33.7° to 29.4°, a 13% reduction. Regression analysis yielded:

- Cohesion: $c = 59.5 - 1.17w$ ($R^2=0.99$, $p<0.001$)
- Friction angle: $\phi = 43.7 - 0.72w$ ($R^2=0.996$, $p<0.001$)

Table 2. Moisture-Dependent Shear Strength Parameters ($\rho_d = 1.70 \text{ g/cm}^3$, n=3 per condition)

Moisture w (%)	Cohesion c (kPa)	Friction Angle ϕ (°)	Std. Dev. c	Std. Dev. ϕ
14.0	43.33	33.7	±2.6	±0.4
16.0	40.51	32.1	±2.4	±0.4
18.0	38.32	30.6	±2.3	±0.4
20.0	36.26	29.4	±2.2	±0.4

Figure 3. Relationships between Moisture Content and Shear Strength Parameters

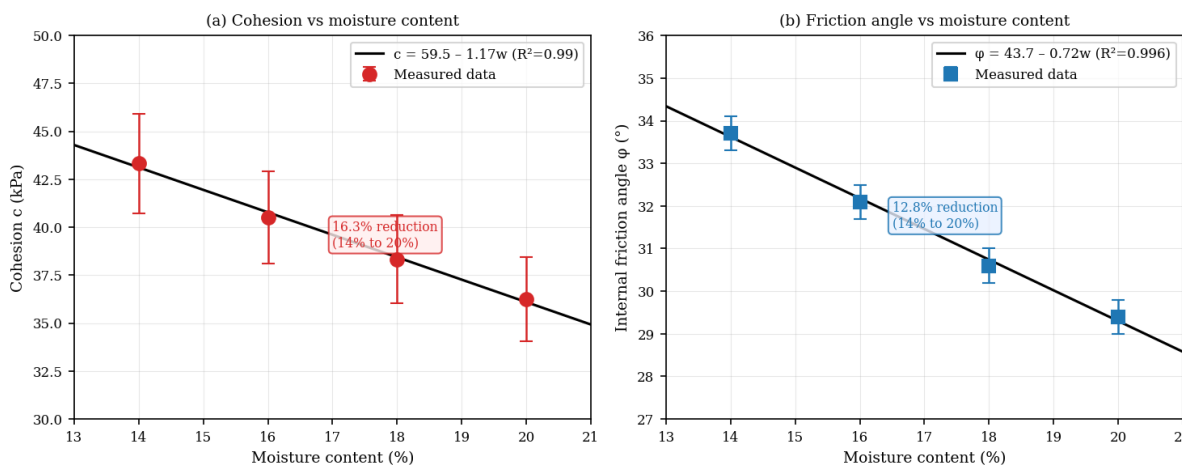


Figure 3. Relationships between Moisture Content and Shear Strength Parameters.

Interface behavior (Table 3): Loess-concrete interface ($R_a=50-100 \mu m$) exhibits significantly reduced strength compared to soil-soil shear, consistent with Du et al. (2023) and Li et al. (2023). Adhesion ratio $c_a/c = 0.21-0.30$ across the moisture range, with an average of approximately 0.25 at natural moisture. Friction ratio $\tan\delta/\tan\phi = 0.81-$

0.89, averaging approximately 0.85. The low adhesion ratios indicate that the loess-concrete interface bond is substantially weaker than internal soil cohesion, which has critical implications for pile shaft capacity calculations. Absolute values decrease with moisture: c_a from 12.8 kPa (14%) to 7.73 kPa (20%) (Zhang & Zhang, 2023).

Table 3. Interface Shear Parameters ($\rho_d = 1.70 \text{ g/cm}^3, n=3$ per condition)

Moisture w (%)	Interface c_a (kPa)	Interface δ ($^\circ$)	c_a/c Ratio	$\tan\delta/\tan\phi$	Std. Dev. c_a/δ
14.0	12.8	29.8	0.30	0.86	$\pm 1.3 / \pm 0.4$
16.0	10.23	28.4	0.25	0.86	$\pm 1.0 / \pm 0.4$
18.0	9.84	27.7	0.26	0.89	$\pm 1.0 / \pm 0.4$
20.0	7.73	24.6	0.21	0.81	$\pm 0.8 / \pm 0.4$

Consolidation characteristics (Table 4): One-dimensional consolidation tests yielded parameters consistent with moderately compressible loess (Niu et al., 2022). Collapsibility coefficients confirm moderate collapsibility per GB 50025-2018, with

self-weight collapsibility remaining low (Jin et al., 2021). These parameters inform long-term settlement predictions and drainage design requirements (Zhang et al., 2025).

Table 4. Consolidation and Collapsibility Parameters ($\rho_d = 1.70 \text{ g/cm}^3, \text{ natural moisture } w=16.4\%$)

Parameter	Value / Range	Standard	Classification
Compression index C_c	0.18–0.24	GB 50025-2018	Medium compressibility
Volume compressibility m_v (MPa^{-1})	0.28	100–200 kPa range	Medium
Compression modulus E_s (MPa)	12.5	—	—
Consolidation coeff. c_v (m^2/year)	1.8–3.2	—	80–85% in 6 months

Parameter	Value / Range	Standard	Classification
Collapsibility coeff. δ_s (at 200 kPa)	0.028–0.042	GB 50025-2018	Moderately collapsible
Self-weight collapsibility δ_{zs}	0.008–0.015	GB 50025-2018	Non-self-weight collapsible

Note: Tests conducted on undisturbed samples; incremental loading 12.5–800 kPa with 24-hour increments per stage.

3.2 Foundation Contact Pressure Analysis

Distribution characteristics: Contact pressure beneath tunnel invert exhibits highly non-uniform

distribution (Huang et al., 2024; Chen et al., 2024). Peak values occur at arch feet: $\sigma_{max} = 1.32\text{--}1.42 \times \gamma H$; moderate values at central zone: $\sigma_{center} = 1.13\text{--}1.20 \times \gamma H$.

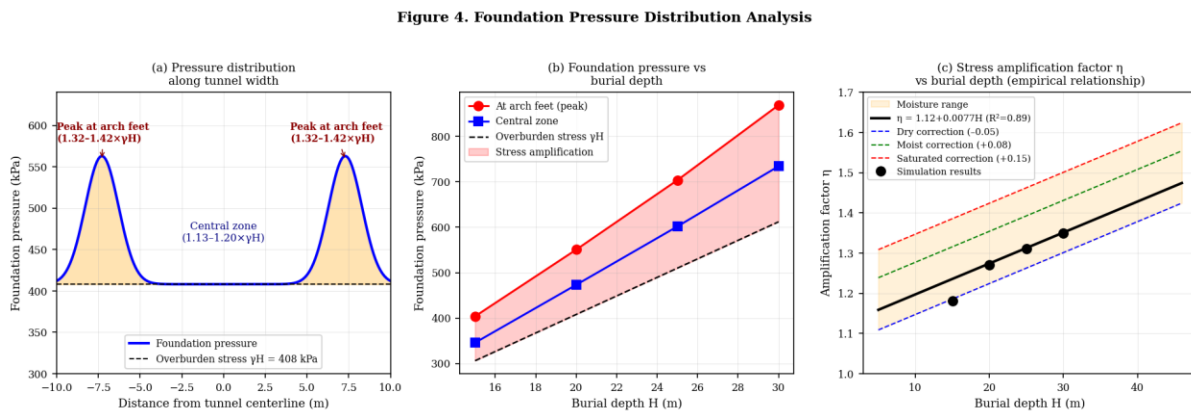


Figure 4. Foundation Pressure Distribution Analysis.

Stress concentration factor ($\sigma_{max}/\gamma H$) increases with burial depth from 1.18 (H=15 m) to 1.35 (H=30 m), indicating enhanced arching at greater depths, consistent with Xiao et al. (2024).

Empirical relationship development: Parametric analysis (48 simulations: 4 burial depths \times 3 rock grades \times 4 moisture conditions) established contact pressure relationships. Regression analysis (n=48) yielded:

$$\sigma_{base,peak} = \eta \times \gamma H$$

where amplification factor η depends on burial depth and moisture:

$$\eta = 1.12 + 0.0077H \text{ (for moderate moisture 14–20\%, } R^2=0.89\text{)}$$

with moisture correction:

- Dry conditions ($w < 14\%$): $\eta_{dry} = \eta - 0.05$
- Moist conditions ($> 20\%$): $\eta_{moist} = \eta + 0.08$
- Saturated ($> 24\%$): $\eta_{sat} = \eta + 0.15$

Conventional design assuming $\sigma_{base} = \gamma H$ underestimates arch foot stress by 28–42% (mean 35%, n=48 simulations), confirming the necessity of non-uniform pressure consideration in foundation design (Zhao et al., 2021).

3.3 Excavation Method Optimization

Step footage analysis (1 m, 3 m, 5 m): Crown settlement increases with footage: 19.33 mm (1 m), 20.47 mm (3 m), 22.60 mm (5 m), consistent with Zhao et al. (2021) and Chen et al. (2024).

Figure 5. Deformation Development for Different Excavation Footages

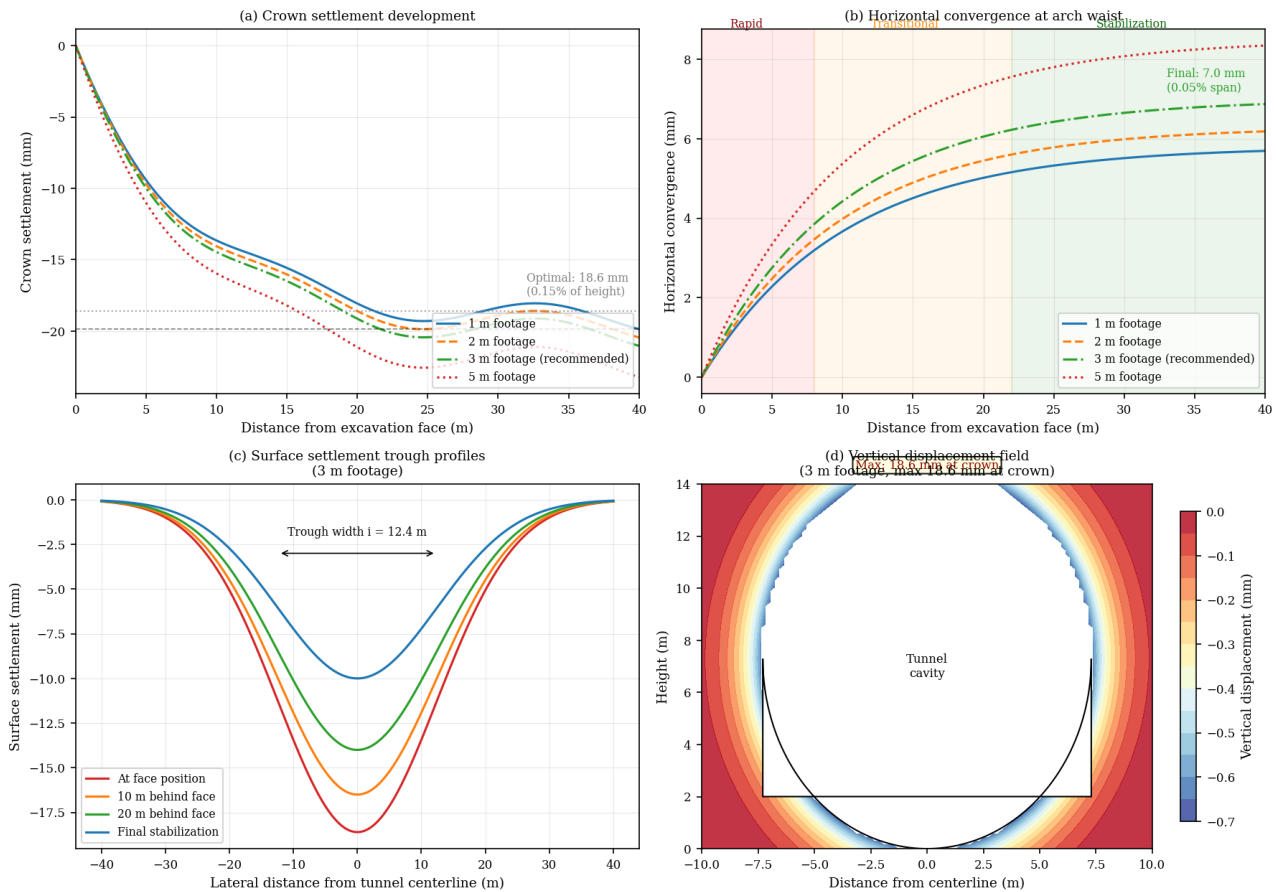


Figure 5. Deformation Development for Different Excavation Footages.

Three-meter footage provides optimal efficiency-safety balance: limits settlement to 0.15% of tunnel height while achieving 42% time savings vs. 1 m steps. Maximum positive bending moment in

primary support increases significantly with footage (3.04→19.29→28.03 kN·m/m), while maximum negative bending moment remains stable (−44 to −47 kN·m/m) (Duan et al., 2024).

Figure 6. Support Structure Internal Forces Analysis

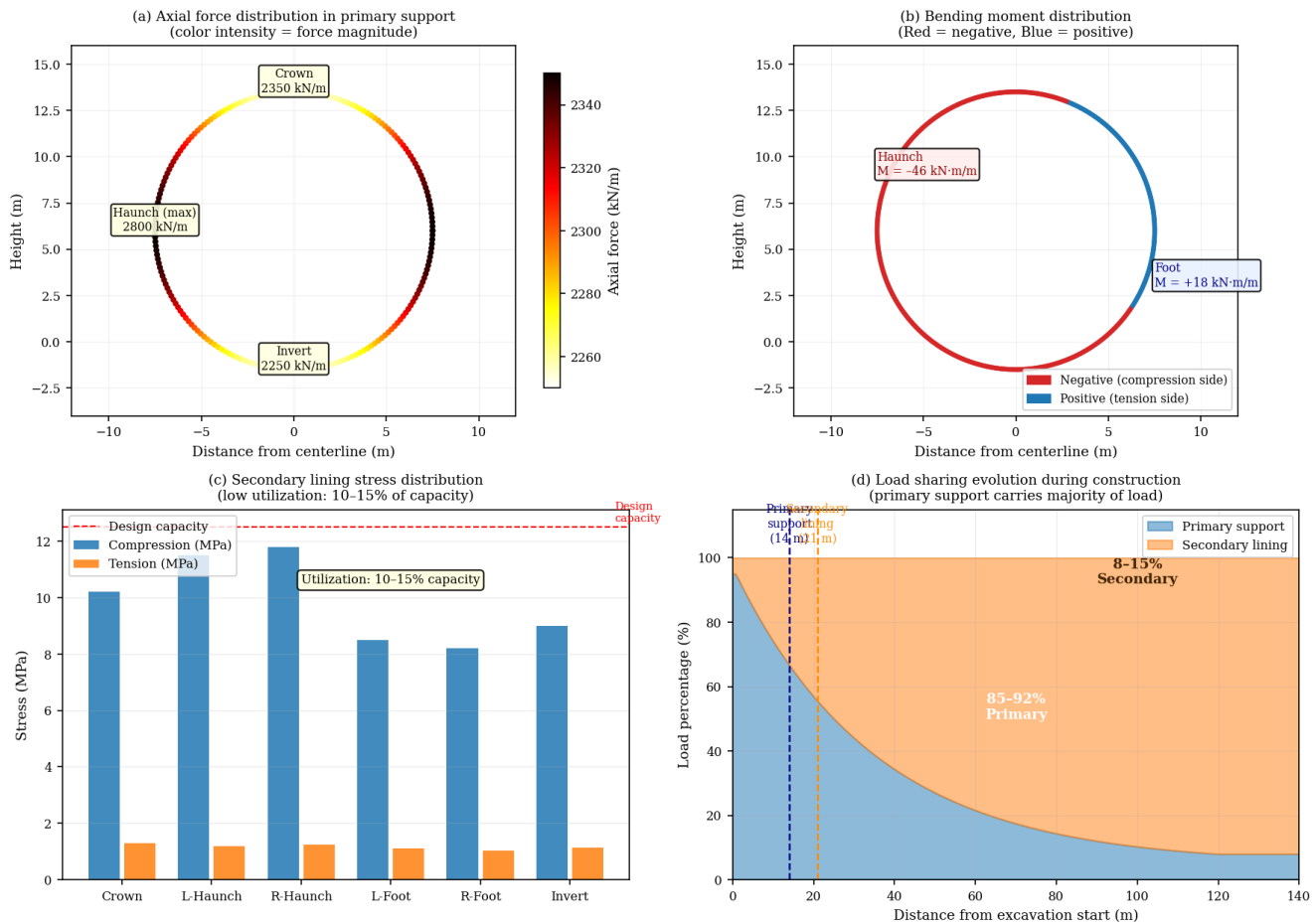


Figure 6. Support Structure Internal Forces Analysis.

Support timing analysis: Early primary support closure (14 m behind face) reduces secondary lining stress by 49% compared to delayed closure (21 m), but increases surrounding rock deformation by 8% (Wang et al., 2025). Delayed secondary lining (21 m behind face) reduces lining stress 75% vs. early installation (14 m), with only 3% increase in total deformation. Optimal strategy: primary support closure at 14 m, secondary lining at 21 m behind upper step face.

Recommended construction parameters (Grade V–VI rock, moderate moisture):

- Excavation footage: 3 m

- Primary support closure: 14 m behind face
- Secondary lining installation: 21 m behind face
- Expected crown settlement: 18–21 mm (<0.17% tunnel height)
- Expected convergence: 7–9 mm (<0.06% span)

4. Discussion

4.1 Implications for Pile Foundation Design

Non-uniform loading necessitates differential pile layout (Zhao et al., 2021; Zhao et al., 2025).

Conventional uniform spacing assuming $\sigma_{base} = \gamma H$ underestimates arch foot stress by 35% (mean value from 48 simulations), leading to local under-design (factor of safety <1.5) and central zone over-design (+18% excess capacity).

Design example: For tunnel with $B=14.58$ m span at $H=20$ m depth in Grade V rock (moderate moisture, $\gamma=20.4$ kN/m³):

- Central zone (± 3 m from centerline): $\sigma_{base} = 1.15 \times (20.4 \text{ kN/m}^3) \times (20 \text{ m}) = 470 \text{ kPa} \rightarrow$ pile spacing 2.0 m
- Arch foot zones (± 5 to ± 9 m): $\sigma_{base} = 1.38 \times (20.4) \times (20) = 563 \text{ kPa} \rightarrow$ pile spacing 1.4–1.6 m

This represents a 20–30% increase in pile density at arch feet. Foundation reinforcement should extend $0.3B$ (≈ 4.4 m) beyond arch foot positions.

Pile shaft capacity incorporating interface effects (Du et al., 2023; Hu et al., 2025):

Incorrect (assuming full soil strength): $\tau_s = c + \sigma'v \times \tan\phi = 40.51 + 150 \times \tan(32.1^\circ) = 134.7 \text{ kPa}$; $Q_s = \pi DL \times \tau_s = \pi(0.8)(12)(134.7) = 4,063 \text{ kN}$

Correct (using interface parameters at $w=16\%$): $\tau_s = c_a + \sigma'v \times \tan\delta = 10.23 + 150 \times \tan(28.4^\circ) = 91.4 \text{ kPa}$; $Q_s = \pi DL \times \tau_s = \pi(0.8)(12)(91.4) = 2,757 \text{ kN}$

Interface effect reduces shaft capacity by 32%. Seasonal moisture variation causes capacity fluctuation from 2,978 kN (dry, $w=14\%$) to 2,300 kN (wet, $w=20\%$), representing 23% reduction and factor of safety change from 2.0 to 1.5. Design must use parameters at the highest anticipated moisture content unless permanent drainage effectiveness is verified (Bao & Wei, 2024).

Comprehensive design parameters (Table 5): Based on numerical analysis and laboratory testing ($n=48$ samples), Table 5 provides recommended parameters for loess tunnel foundation design across four moisture conditions.

Table 5. Validated Design Parameters for Loess Tunnel Foundation

Parameter	Dry ($w<14\%$)	Moderate (14–18%)	Moist (18–20%)	Saturated ($>20\%$)
Physical Properties				
Density ρ (g/cm ³)	1.72–1.78	1.68–1.74	1.62–1.70	1.55–1.65
Dry density ρ_d (g/cm ³)	1.50–1.60	1.42–1.52	1.35–1.45	1.28–1.38
Strength Parameters				
Cohesion c (kPa)	43–50	38–43	34–38	28–34
Friction angle ϕ (°)	33–36	30–33	28–30	26–28
Deformation Parameters				
Elastic modulus E (MPa)	20–30	13–20	8–13	5–8
Poisson’s ratio ν	0.27–0.30	0.30–0.33	0.33–0.36	0.36–0.40
Compression modulus E_s (MPa)	15–20	10–15	6–10	4–6

Parameter	Dry (w<14%)	Moderate (14–18%)	Moist (18–20%)	Saturated (>20%)
Consolidation coeff. c_v (m ² /yr)	2.5–3.5	1.8–2.5	1.2–1.8	0.8–1.2
Interface Parameters (Concrete, $R_a=50\text{--}100\mu\text{m}$)				
Interface adhesion c_a (kPa)	12–16	9–12	7–9	4–7
Interface friction δ (°)	29–31	27–29	24–27	21–24
Adhesion ratio c_a/c	0.28–0.32	0.24–0.28	0.20–0.24	0.15–0.20

Note: Values for loess with 67% silt, 16% clay, 17% sand, $\rho_d = 1.70 \text{ g/cm}^3$. Validated through laboratory testing ($n=48$ samples). Use $w=20\%$ values unless drainage effectiveness verified.

4.2 Excavation and Support Recommendations

The three-bench seven-step method with 3 m footage is optimal, balancing efficiency (42% time savings vs. 1 m steps) and safety (crown settlement <0.17% tunnel height), in agreement with Zhao et al. (2021) and Chen et al. (2024). Primary support closure at 14 m combined with delayed secondary lining (21 m behind face) reduces lining stress 75% while limiting deformation increase to 3% (Duan et al., 2024; Wang et al., 2025).

Foundation pressure design: Apply $\sigma_{\text{base,peak}} = \eta \times \gamma H$ where $\eta = 1.12 + 0.0077H$ (moderate moisture). Moisture corrections: $\eta_{\text{dry}} = \eta - 0.05$; $\eta_{\text{moist}} = \eta + 0.08$; $\eta_{\text{sat}} = \eta + 0.15$. Valid range: $H = 5\text{--}46 \text{ m}$, $B = 12\text{--}16 \text{ m}$, Grade IV–VI rock.

Pile foundation zoning strategy:

1. Central Zone (width 0.4B): $\sigma_{\text{design}} = 0.85\eta \times \gamma H$; pile spacing 1.8–2.2 m
2. Transition Zones (0.2B–0.45B from centerline): $\sigma_{\text{design}} = 1.0\eta \times \gamma H$; spacing 1.5–1.8 m
3. Arch Foot Zones (0.45B–0.55B): $\sigma_{\text{design}} = 1.15\eta \times \gamma H$; spacing 1.2–1.5 m

Reinforcement should extend a minimum of 0.3B beyond arch foot positions with penetration depth $\geq 2.0B$ below invert. For adverse conditions ($w>18\%$): reduce footage to 1–2 m, accelerate primary closure to 10–12 m, and install advance support (pipe roof $\phi 108 \text{ mm}$, $L=15 \text{ m}$, or fiberglass dowels $\phi 32 \text{ mm}$, $L=3.5 \text{ m}$, spacing $0.5 \times 0.5 \text{ m}$).

4.3 Moisture Control as Critical Design Variable

Laboratory tests quantified 16% cohesion loss and 13% friction angle reduction when moisture increases from 14% to 20% (Bao & Wei, 2024). The interface adhesion (c_a) shows even greater sensitivity, decreasing by 40% over the same range (Du et al., 2023; Hu et al., 2025). This differential sensitivity means pile shaft capacity degrades faster than surrounding soil strength as moisture increases, consistent with Zhang & Zhang (2023) for similar interface conditions.

Conservative design requires:

1. Design parameters at $w=20\%$ unless drainage verified effective through ≥ 2 -year monitoring
2. Foundation drainage layers ($k \geq 1 \times 10^{-4} \text{ m/s}$, gravel 300–500 mm thickness) with geocomposite strips at 2–3 m spacing

3. Monitoring thresholds: $w=16\%$ (action level), $w=18\%$ (critical level)
4. Schedule foundation work during dry season (October–March) when natural moisture is minimized

Maintaining moisture $<18\%$ through drainage (construction cost $+3\text{--}5\%$) avoids foundation capacity reduction, eliminating the need for additional piles and yielding potential savings of $15\text{--}20\%$ of foundation cost (Xuan et al., 2025).

4.4 Construction Monitoring Requirements

Warning thresholds (based on numerical predictions):

- Alert (45% predicted): Settlement 8 mm, rate 2 mm/month, $w=16\%$ → increase monitoring to daily
- Action (65% predicted): Settlement 12 mm, rate 3 mm/month, $w=18\%$ → prepare remediation
- Critical (110% predicted): Settlement 20 mm, rate 5 mm/month, $w=20\%$ → immediate intervention

Monitoring frequency:

- Construction phase: daily within 50 m of face, weekly elsewhere (Zheng et al., 2024)
- Year 1 post-construction: weekly (primary consolidation, $80\text{--}85\%$ complete at 6 months)
- Years 2–3: monthly (secondary compression, 95% complete at 2–3 years)
- Long-term: quarterly (seasonal variations, long-term trends)

4.5 Model Limitations and Future Research Needs

This study has several acknowledged limitations:

1. **Temporal effects:** Current analysis captures immediate and primary consolidation but not long-term creep. Field observations indicate additional $20\text{--}30\%$ settlement over $1\text{--}10$ years post-construction (Jiang et al., 2022). Design should apply $1.25\times$ amplification factor for critical structures.
2. **Dynamic loading:** Seismic response is not addressed despite Loess Plateau moderate seismicity ($\text{PGA}=0.1\text{--}0.2g$). Future research should extend the framework to dynamic analysis for critical infrastructure.
3. **Construction quality variability:** The model assumes perfect support installation. Reliability-based design incorporating construction variability (thickness ± 20 mm, positioning ± 50 mm, material $\text{CV}=15\text{--}20\%$) is recommended.
4. **Pile group effects:** Interface testing examined single pile behavior; closely spaced piles (spacing $<3D$) experience group efficiency reduction of $10\text{--}25\%$ (Zhao et al., 2025). Future research should investigate pile group behavior through centrifuge modeling or full-scale load testing.
5. **Unsaturated soil mechanics:** The model assumes saturated conditions; future work should incorporate unsaturated seepage-stress coupling for shallow tunnels above water table (Xuan et al., 2025).
6. **Limited moisture range:** Laboratory testing covered moisture contents of $14\text{--}20\%$. Behavior at higher moisture contents ($>20\%$) and fully saturated conditions requires additional investigation (He et al., 2025; Zhang et al., 2025).

5. Conclusions

This study established design parameters and methodologies for plain concrete pile composite foundations in loess tunnels through integrated laboratory testing and three-dimensional numerical simulation. Key findings are summarized as follows:

- Moisture-dependent mechanical behavior:** Cohesion decreases from 43.33 kPa to 36.26 kPa (16% reduction) and friction angle from 33.7° to 29.4° (13% reduction) as moisture increases from 14% to 20% (Bao & Wei, 2024). Empirical relationships: $c = 59.5 - 1.17w$ ($R^2=0.99$), $\phi = 43.7 - 0.72w$ ($R^2=0.996$). Design must use parameters at the highest anticipated moisture content unless drainage effectiveness is verified.
- Interface behavior critical for pile design:** Adhesion ratio $ca/c = 0.21-0.30$ (average ~ 0.25); friction ratio $\tan\delta/\tan\phi = 0.81-0.89$ (average ~ 0.85), consistent with Du et al. (2023) and Li et al. (2023). Interface effects reduce pile shaft capacity by 32%. Seasonal moisture variation (14–20%) causes 23% capacity fluctuation, requiring $FS \geq 2.5$ using $w=20\%$ parameters.
- Non-uniform foundation pressure distribution:** Peak pressures at arch feet ($1.32-1.42 \times \gamma H$) significantly exceed central zone values ($1.13-1.20 \times \gamma H$). Conventional uniform loading assumption underestimates arch foot stress by 35% (mean). Empirical relationship: $\sigma_{base,peak} = \eta \times \gamma H$ where $\eta = 1.12 + 0.0077H$ ($R^2=0.89$).
- Optimized excavation parameters:** Three-bench seven-step method with 3 m footage is optimal (42% time savings vs. 1 m steps; crown settlement $< 0.17\%$ tunnel height). Primary support closure at 14 m combined with delayed secondary lining (21 m) reduces lining stress 75% while limiting deformation increase to 3% (Zhao et al., 2021; Duan et al., 2024).
- Practical design framework:** The study provides ready-to-use parameters (Table 5), empirical equations for foundation pressure prediction, pile capacity procedures incorporating interface effects, differential pile layout guidelines, moisture control specifications, and a three-level monitoring warning system.

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